

# Peripheral pooling is tuned to the localization task

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**Abstract.** The human visual system exhibits substantially different properties between foveal and peripheral vision. Peripheral vision is special in that it has to compress data onto fewer units by reduced visual acuity and larger receptive fields, yielding greatly reduced performance on many tasks such as object recognition. However, here we show that the pooling operations implemented by peripheral vision provide exactly the invariance properties required by a self-localization task. We test the effect of different pooling sizes, as well as acuity reduction, on localization, object recognition and scene categorization tasks. We find that peripheral pooling, but not reduced acuity, effect localization performance positively, while it is detrimental to object recognition performance.

## 1 Introduction

The distribution of visual processing power is not uniform across the visual field (Daniel and Whitteridge, 1961; Polyak, 1941; Rodieck, 1998; Wilson et al., 1990; Weymouth, 1958). The best visual processing properties are restricted to only a small central area, the fovea, whereas at larger eccentricities only coarse-grained and distorted information is available.

Two reasons are regarded to cause this inhomogeneity. First, there is a significant drop of spatial resolution with increasing eccentricity (Weymouth, 1958), limited by the resolution of the discrete neural image carried by the human optic nerve (Wilkinson et al., 2016).

Fine details can only be discriminated efficiently in the fovea while only coarse structures can be resolved at larger eccentricities. Consequently, peripheral vision is not well-suited for object or letter recognition, since these tasks require the identification of small spatial features and of their relative spatial positions. Since both types of features are disturbed by low-pass filtering, the accurate perception of objects is severely impaired in the periphery.

Although peripheral vision exhibits reduced acuity, measures exist to compensate for this - at least under laboratory testing conditions: An isolated object, if scaled appropriately such that its individual features become larger than the peripheral resolution limit, can again be perfectly recognized (Anstis, 1974; Virsu and Rovamo, 1979; Virsu et al., 1987). This seems to indicate that the performance is not substantially different between peripheral and foveal vision.

However, there is an important second reason that leads to inferior processing in the visual periphery. Not only does the spatial resolution drop, but an additional destructive effect due to a specific kind of spatial interference can be observed. This effect arises between neighboring objects, even if they are sufficiently scaled to surpass the peripheral resolution limit. If the objects are not clearly separated by a critical distance from each other, recognition will be substantially reduced, a phenomenon known as “crowding” (for review, see e.g. Levi 2008; Pelli and Tillman 2008; Whitney and Levi 2011; Strasburger et al. 2011).

The currently prevailing interpretation of crowding is in terms of some sort of spatial pooling of visual information (Balas et al., 2009; Freeman and Simoncelli, 2011; Freeman et al., 2012; Levi, 2008; Parkes et al., 2001; Pelli et al., 2004; Van den Berg et al., 2010; Wilkinson et al., 1997). In particular, it has been proposed that the peripheral representations in visual cortex provide only local statistics of the visual image (Parkes et al., 2001; Balas et al., 2009; Van den Berg et al., 2010; Freeman and Simoncelli, 2011). However, a recent study suggests that not all elements of the peripheral descriptor are captured in local statistics (Wallis et al., 2016). Since this statistical pooling cannot be avoided, it is designated as mandatory pooling or compulsory averaging (Parkes et al., 2001). Crowding in this perspective is seen to be caused by a two-stage process in which individual features are first detected independently and are then spatially pooled or integrated in some fashion on a subsequent stage of processing. Only this pooled information is available for subsequent recognition processes (but see, e.g., Chaney et al. 2014; Herzog et al. 2015). We thus observe spatial pooling on two stages: in addition to the reduced spatial acuity (which is a pooling across space in the sense of a low-pass filtering of the luminance function by optical and neural means) there is an additional spatial pooling of elementary features (for example V1 units) on a higher cortical processing stage.

Like the reduced spatial resolution of the periphery, crowding represents an information bottleneck for peripheral vision (Levi, 2008; Rosenholtz et al., 2012a). However, while the resolution bottleneck can be overcome by scaling, the limitations incurred by crowding are much more severe. In natural scenes and in our cultural environments objects rarely appear in isolation, or are clearly separated from each other. Rather, these environments are often heavily cluttered such that under natural viewing conditions peripheral performance may be much worse than observed in many traditional laboratory tests of visual perception. During real-world vision, most of the visual field will be crowded by a large number of objects and consequently most of these objects will not be correctly recognized by the periphery.

What is the reason that forces the system to accept such a severe bottleneck? The main reason is that it is simply impossible to afford full foveal processing quality across the entire visual field. Our eyes would have to be as large as our heads, and our brain would weigh several thousand kilograms (Schwartz, 1994; Anstis, 1998). The system seems to deal with this pressure on complexity by using large integration fields. Larger receptive fields are cheaper than small ones because fewer units are required to densely cover the peripheral visual field (Pelli et al., 2004).

Large integration fields are a reasonable way to deal with limited resources (as compared to, say, using only a small subset of the features). The statistical pooling can be related to lossy compression (Rosenholtz et al., 2012a) and the specific peripheral processing then is a result of trying to achieve the best information possible under the restriction of overall limited complexity. The cortex thereby can form an economic representation without needing to represent each individual member of a set of objects (Ariely, 2001). Pooled statistical information enables us to rapidly assess the general properties and layout of a scene, that is its *gist* (Oliva, 2005). This in turn can provide contextual information which helps in central object recognition as well as in motor control (Torralba et al., 2006).

However, although the system seems to make the best use of its limited resources, for many tasks

the extended feature pooling is massively destructive. These range from simple tasks like Vernier acuity and orientation discrimination to more complex tasks like letter and object recognition (Levi, 2008; Strasburger et al., 2011). On the phenomenal level, flanking objects “squash” the target object, features become “jumbled”, or seem to be located at wrong positions (Pelli et al., 2004). Most investigations so far suggest that perceptual tasks can always be better solved with the fovea than with the periphery. Compared to the performance level of the fovea, peripheral processing seems to be hampered by a deficit.

But is this really true for all tasks? Are there basic behavioral tasks which can be solved even *better* by peripheral vision? Is there any beneficial by-product of the spatial feature pooling associated with crowding? Currently this is regarded as an open question (Bulakowski et al., 2011).

Local pooling is an important component of visual recognition models because it provides invariance to translation and small local rotations (Dalal and Triggs, 2005; Pinto et al., 2011). The established way to employ local pooling for feature recognition is in a hierarchical fashion, where pooling layers with small pooling ranges are alternated with feature matching layers to build a set features that are increasingly more specific to more complex patterns, while at the same time being increasingly more invariant to location and scale. This kind of hierarchy has been suggested by Marko and Giebel (1970), Fukushima et al. (1983), Riesenhuber and Poggio (1999), was later refined to form the HMax-model by Serre et al. (2007) and also laid the foundation for Deep Learning architectures for image classification (Krizhevsky et al., 2012).

But can pooling also be helpful when applied with a large range in a single step, such that it destructs local information? In the HMax model, this has been tried by Isik et al. (2011) to model peripheral pooling behavior, but has not been used to show improvement on any task. Classic candidates of models employing large pooling ranges that come to mind would be the recognition of the *gist* of a scene (Oliva, 2005), or the fast parallel processing of ensemble properties (Ariely, 2001). However, regarding these two perceptual tasks evidence so far seems inconclusive. Bulakowski et al. (2011) argued that dissociations between crowding effects and ensemble representations make it difficult to interpret the latter as a positive by-product of the feature pooling that causes crowding. Gist recognition is surprisingly good in the periphery but a central presentation of wide-field images always yield the best results (Thorpe et al., 2001). Larson and Loschky (2009) found in a detailed analysis that in spite of a seeming advantage of the periphery (if defined as 5 deg), central vision is in fact more efficient at processing gist.

We thus consider here an alternative task, vision-based *localization*. It is of substantially different nature than the classic perceptual tasks, since it is closer related to mobility and navigation than to the recognition of objects or scenes. Furthermore, it differs from perceptual tasks by the substantially different invariance properties (Wolter et al., 2009; Eberhardt and Zetsche, 2013).

Two components of visual matching contribute to the vision-based localization task. The first component is to match known objects at previously learned locations, that is a landmark-based approach that can be driven by an object recognition mechanism. A second component is to match not individual objects, but scene material properties such as the type of foliage in natural or building styles in urban scenes. An example would be to recognize a street scene as being

taken in Paris from its typical house façades (Doersch et al., 2012).

Localization based on the second component does not make assumption about the particular location of certain features and can therefore be driven by a texture-based approach (Eberhardt and Zetzsche, 2013) that exhibits large pooling properties. We therefore presume that localization could be a candidate for a genuine advantage of the periphery over foveal vision. We test this hypothesis by using both model simulations and behavioral experiments. In particular, we will consider the following questions:

- What influence does spatial pooling of features, as performed in the visual periphery, have on different tasks? Of particular interest here is the influence on object recognition, as opposed to localization.
- Which kind of features and architectural properties are most suited for vision-based localization? Here we will consider different existing model architectures (GIST, Textons, Spatial Pyramids, Statistical Periphery Model).
- Which kind of pooling is better suited for the different tasks, input pooling or feature pooling?
- Do human subjects show a genuine advantage of peripheral over foveal vision in a localization task?
- Does the human periphery with its inherent pooling capabilities profit from the recognition of scene material properties as opposed to recognizing concrete objects to identify a location?

The paper is organized as follows. In section 2, we present a peripheral pooling model in which we are able to control for the input acuity as well as pooling sizes. We also introduce a database for localization and test the effect of pooling and acuity variations on the localization as well as on control datasets. In section 3, we evaluate the performance of different visual feature descriptors on localization as well as on other control tasks to find which kind of descriptor is best suited to solve each problem. In section 4, we present an experiment on human subjects which shows how much peripheral vision contributes to a localization task compared to foveal vision. Finally, results are summarized and discussed in section 5.

## 2 Peripheral pooling model

### 2.1 Methods

#### Model description

To test the influence of pooling regions on different tasks, we use a model derived from early stages of the HMax implementation by Serre et al. (2007).

Grayscale input images are rescaled to  $256 \times 256$  input units and padded with black if needed. On inputs  $I_{x,y}$ , edge detection using Gabor filters  $G_{x,y}$  is applied using a normalized dot product to produce outputs  $S_{x,y,\lambda}^1$ :

$$G_{x,y} = \exp\left(\frac{\bar{x}^2 + \alpha \bar{y}^2}{-2\sigma^2}\right) \cdot \cos\left(\frac{\bar{x} \cdot 2\pi}{\theta} - \phi\right) \quad (1)$$

$$\bar{x} = \cos(\lambda) \cdot x - \sin(\lambda) \cdot y \quad (2)$$

$$\bar{y} = \cos(\lambda) \cdot y + \sin(\lambda) \cdot x \quad (3)$$

$$\bar{S}_{x,y,\lambda}^1 = \sum_{x'=-r}^{+r} \sum_{y'=-r}^{+r} I_{x,y} \cdot G_{x+x',y+y'} \quad (4)$$

$$S_{x,y,\lambda}^1 = \frac{\bar{S}_{x,y,\lambda}^1 + \epsilon}{\sqrt{\sum_{x'=-r}^{+r} \sum_{y'=-r}^{+r} I_{x+x',y+y'}^2 + \epsilon}} \quad (5)$$

Six orientations  $\lambda \in \{0, \pi/6, \pi/3, \pi/2, \pi * 2/3, \pi * 5/6\}$  are sampled with  $\alpha = 0.3$ ,  $\theta = 3.5$ ,  $\sigma = 2.8$  and  $r = 5$ .

A pattern matching layer  $S^2$  computes radial basis function distances ( $\sigma_2 = 1/3$ ) of inputs  $S_{x,y}^2$  to a simple feature dictionary  $D_{x,y,\lambda,f}^2$  with 2000 features  $f$  of size  $3 \times 3$  ( $r_2 = 1$ ) in the dictionary. The dictionary is sampled from random locations in natural images and sparsified such that only the orientation  $\lambda^{x,y}$  with the strongest activation in the sampling source is stored in the template and the remaining activations set to zero.

$$S_{x,y,f}^2 = \exp\left(\frac{-\left(\sum_{x'=-r_2}^{+r_2} \sum_{y'=-r_2}^{+r_2} \sum_{\lambda} S_{x+x',y+y',\lambda}^1 - D_{x,y,\lambda,f}^2\right) \cdot 1}{\sigma_2^2}\right) \quad (6)$$

A local inhibition layer  $L_{x,y,f}^2$  on top of  $S_{x,y,f}^2$  provides inhibition between features at each location. It zeroes all feature activations that lie below a threshold of  $\Theta = 0.9$  of the maximum activation over all features at each location. A pooling layer  $C^2$  is put on top of  $L^2$ , which pools over a range of inputs using a simple averaging operation.

Two variations are tested here: The pooling range  $\lambda$  is varied to model pooling over peripheral features, while input images are rescaled to a smaller size to model the effect of reducing acuity. When the pooling range is modified, the stride, that is sampling density of features is kept constant, resulting in additional overlap between features on the larger pooling conditions. The model software is implemented in MATLAB using Cortical Network Simulator (Mutch et al., 2010) and built in part from the HMax package.

## Model testbed

For the evaluation diagnosticity of feature descriptors, we follow a classification approach. We evaluate performance of a classifier on different features vectors and varying tasks.

For the object recognition and scene classification comparison tasks, this is the most natural approach as established datasets exist and are in widespread use. For object recognition, Caltech-101 by Fei-Fei et al. (2007) provides a well-tested database for classification between a large number of object categories. For Scene Categorization, the Scene-15 dataset by Lazebnik et al. (2006) is used.

**Fig. 1:** Randomly sampled locations from the Google Streetview data source. Each dot represents one of 204 locations, that is classes in the dataset. Instances in the class are 36 images taken at different yaw rotations.

The localization task can not be transferred to the classification concept naturally, because vision-based localization is typically assessed by a procedure that derives coordinates from images and calculates error as distance between determined and true position. However, a purely class-based approach is chosen here. Images are sampled randomly from Google Streetview, where each GPS location constitutes one class and samples of each class are generated by testing different views. That is, geometric information between the classes is discarded entirely and performance is measured in percent correct assigned classes to yield comparability with the other classification tasks.

Streetview images are provided through the Google StreetViewPanorama API, which yields equirectangular (Plate Carre) projection, extracted at 90 degrees field of view for the model runs. 204 random locations are sampled, constrained within France to ensure that camera recording settings are as uniform as possible (see figure 1). Because all images from one location are taken at the same time, there are some external conditions not related to localization which classifiers may pick up on that cannot be controlled such as time of the day and weather condition. However, since the number of locations is large and most images are recorded at moderate weather conditions during daytime, this effect should be small.

**Fig. 2:** Opposite testing condition: **A-C** are training samples for the classifier, which include no visual overlap with testing sample **T**, as seen on birds-view map **Z**. Images (c) by Google Inc., used under Fair Use policy (<http://www.google.com/permissions/geoguidelines.html>)

To control for features that just match image overlap between views of a location, an *opposite* condition is tested, in which it is ensured that training and testing samples never have overlapping image regions. In this condition test samples are guaranteed to be rotated at least 90 degrees away from all training samples (see figure 2).

All data was reduced to 256 dimensions using principal component analysis and classification was executed using linear regression with leave-one-out-cross-validation for the regularization parameter, ten training samples per class and the rest used for testing. Classifier training was performed using the GURLS software package (Tacchetti et al., 2011) for MATLAB.

For the performance measurement, many multiclass classification measures exist (Sokolova and Lapalme, 2009). Since datasets of varying class counts are compared here, classification accuracy

was evaluated as mean recall over all classes. That is, with  $tp_i$  and  $fn_i$  being true positives and false negatives of class  $i$  respectively, performance  $p$  over  $n$  classes is:

$$p = \frac{1}{n} \sum_{i=1}^n \frac{tp_i}{tp_i + fn_i} \quad (7)$$

All classification runs have been repeated 50 times with different train and test set to yield a more stable average. Mean errors were calculated for the error margins given on the percent correct.

## 2.2 Results

**Fig. 3:** Classifier performance as  $d'$  on different datasets using the model described in section 2.1. **A.** Variation of pooling range  $\lambda$  on constant input resolution of 256x256. **B.** Variation of input resolution using constant  $\lambda = 50$ . Datasets test for location (Streetview), object category (Caltech-101), place category (Scene-15 and location from  $\pm 90$  degree view angle (Opposite))

Performance results of the model classifier are shown in figure 3. Both pooling regions and input acuity changes have a strong effect on the resulting performance. For the Streetview dataset, the localization task, performance increases drastically as a function of pooling range from  $1.04 \pm 0.02\%$  ( $d'=0.29$ ) for no pooling ( $\lambda = 1$ ) up to  $66.01 \pm 0.12\%$  ( $d'=3.19$ ) for maximum pooling over the whole image range ( $\lambda = 240$ ) (see figure 3A) The *opposite* condition follows the same pattern at a much lower performance from  $0.68 \pm 0.07\%$  ( $d'=0.12$ ) at  $\lambda = 1$  and  $10.03 \pm 0.26\%$  ( $d'=1.38$ ) at  $\lambda = 240$ . The scene categorization dataset shows similar dependency, going from  $17.96 \pm 0.31\%$  ( $d'=0.66$ ) at  $\lambda = 1$  up to  $51.07 \pm 0.21\%$  ( $d'=1.72$ ) at  $\lambda = 30$ , but then saturates, indicating that larger pooling ranges are no longer advantageous to that task at some point. While localization and scene categorization benefit from pooling, the opposite effect can be observed for the object categorization test using Caltech-101. Here, the result goes down slightly from  $31.09 \pm 0.20\%$  ( $d'=1.97$ ) at  $\lambda = 240$  to  $21.37 \pm 0.17\%$  ( $d'=1.64$ ) at  $\lambda = 1$ .

Reduction of image resolution as a simulation of reduced visual acuity in the periphery does not result in the same performance gains (see figure 3B). Unlike pooling on the higher level, performance is affected negatively by the reduced acuity for all datasets. Reduction of performance is gradual for the scene categorization task, falling from  $54.52 \pm 0.26\%$  ( $d'=1.82$ ) at full resolution down to  $45.74 \pm 0.25\%$  ( $d'=1.57$ ) at 1/8th acuity. The localization tests, both with the regular and with the *opposite* condition, show similar, but not quite as stable behavior.

Although the performance decay is also apparent on the object categorization dataset, it remains mostly stable between full resolution ( $30.46 \pm 0.16\%$ ,  $d'=1.95$ ) and reduction to 1/4th ( $30.44 \pm 0.16\%$ ,  $d'=1.95$ ), but then falls off to  $25.99 \pm 0.22\%$  ( $d'=1.80$ ) as the resolution is halved again. Together, these results show that reducing dimensionality by pooling on mid-level simple features (i.e.  $S^2$  features) leads to better performance than performing the pooling earlier, at the visual acuity level. They also show that such pooling is beneficial to the execution of holistic,

contextual recognition tasks such as localization and scene categorization while it hinders performance in object classification.

### 3 Model comparison

#### 3.1 Methods

To test more widely which classes of visual features are discriminative for the location categorization tasks and put the achieved model performance into context, we also selected and tested bio-inspired low-level feature descriptors that cover different semantic aspects of the input image and implement different pooling schemes on top of densely sampled filters:

- Global pooling (as done in the *Texon*, *SIFT* and parametric texture descriptor in this study) builds statistics over the whole image and discards all position information
- Image partitioning (as done by the *Spatial Pyramid* and *GIST*) descriptors pool over segments of the image, resulting in a larger feature vector in which the feature index encodes the image partition
- Local pooling (as done in the parametric V2 model from section 2.1) works like image partitioning, but allows neighbouring pooling regions to overlap.

The *Texon* descriptor as described by Leung and Malik (2001) calculates a set of linear filter edge detection responses for each pixel, assigns clusters and builds a histogram over the whole image. The *SIFT*, short for Scale Invariant Feature Transform descriptor by Lowe (1999), is a histogram of local orientations. We sample densely with a spacing of 8 pixels and patch size of 16 pixels and use k-means clustering data to find 200 cluster centers from 100000 random samples from 50 training images from each tested dataset. The *Spatial Pyramid* descriptor, introduced by Lazebnik et al. (2006), modifies this local histogram by pooling over a pyramid of image regions from global pooling down to a grid of 4x4 segments. The pyramid is run on the densely sampled SIFT descriptors. A second texture descriptor is the parametric texture model by Portilla and Simoncelli (2000) calculates joint statistics of complex wavelet coefficients and had been applied to the full image for this study. Although the descriptor has been used for texture description and synthesis, it has also been suggested as a model for peripheral vision (Freeman and Simoncelli, 2011; Rosenholtz, 2011). Additionally, it has been validated experimentally for peripheral tasks such as visual search (Rosenholtz et al., 2012b). However, it has also been found to be an incomplete representation in a temporal three-alternative oddity task (Wallis et al., 2016), suggesting that the peripheral descriptor includes additional features not captured by local statistics alone.

The GIST descriptor (Oliva and Torralba, 2001) is a holistic image description designed for scene categorization, which calculates the first principal components of spectral image contents on a very coarse grid as well as on the whole image. It is calculated using the published MATLAB code from Oliva and Torralba (2001) in default settings on grayscale images (4 scales, 8 orientations per scale) without projection into the category space of the paper.

All descriptors are analyzed on the same datasets in the testbed, described in section 2.1,



including PCA where the feature dimensionality was larger than 256, to yield a performance in percent correct. To allow better comparison between datasets of different class count, performances are converted to  $d'$  by treating classification between  $m$  classes as a  $m$ -alternative-forced choice decision problem using the *pysdt* python toolbox function (Green and Dai, 1991). The V2 descriptor is using the model from section 2.1 with maximum  $\lambda$  and resolution settings.

## 3.2 Results

**Fig. 4:** Comparison model performance as  $d'$  on different datasets. V2: Our pooling model. SpPyr2: Spatial Pyramid level 2 over SIFT descriptors. ParTex: Parametric texture model by Portilla and Simoncelli (2000). Percent correct overview in supplementary materials.

Results of the model-dataset comparison are shown in figure 4. For the localization task, all globally pooled texture-based approaches show strong performance. Best results are achieved by the Texton descriptor at  $83.81 \pm 0.11\%$  ( $d'=3.81$ ). Even the low-dimensional parametric texture model (ParTex) reaches  $71.94 \pm 0.13\%$  ( $d'=3.37$ ) here. On the other hand, the GIST descriptor performs worst of all the compared models at only  $36.86 \pm 0.10\%$  ( $d'=2.38$ ). The Spatial Pyramid descriptor at  $66.63 \pm 0.14\%$  ( $d'=3.21$ ) yields no improvement over its associated global pooling vector (SIFT) at  $74.14 \pm 0.11\%$  ( $d'=3.44$ ). Generally, image partitioning methods as performed by the Spatial Pyramids as well as the GIST descriptor are not useful for the localization task. This is true for both the regular and the *opposite* condition.

An orthogonal effect can be found on the object categorization test on Caltech-101, where both spatially segmented descriptors show the strongest performance at  $58.72 \pm 0.11\%$  ( $d'=2.74$ , GIST) and  $53.53 \pm 0.17\%$  ( $d'=2.60$ , Spatial Pyramids).

The results of scene categorization are less diverse. Segmented descriptors perform well at  $57.03 \pm 0.21\%$  ( $d'=1.90$ ) for Spatial Pyramids and  $51.86 \pm 0.26\%$  ( $d'=1.75$ ) for GIST, but the global pooling vector presented in this paper (V2) reaches similar performance at  $57.63 \pm 0.18\%$  ( $d'=1.91$ ).

In summary, we find that holistic pooling methods over texture descriptors perform better than spatially partitioned features on the localization task, while this effect is less pronounced on the place categorization problem and performance ordering is reversed for object classification.

## 4 Human experiments

## 4.1 Methods

### Participants

A test for how much peripheral vision contributes to human performance was run on 15 subjects (9m/6w) aged 18-35 per study requirement. Individual age was not recorded. All subjects were undergraduate students in the Digital Media studies who took the study voluntarily for course credit. The study was acknowledged by the IRB of Bremen University and subjects gave informed consent.

### Stimuli

**Fig. 5:** Trial structure for foveal (top) and peripheral (bottom) trial. Subjects first saw a reference image, then two test images. They had to answer which of the two test images was taken from the same location, but viewing into a different direction, as the reference image.

Stimuli were shown in a match-to-sample paradigm. Subjects were placed in front of a projection wall (eye-wall distance of 186cm, projection height and image size 194cm) and instructed to decide which two of three presented images are from the same location. Images were flashed briefly in sequence (see figure 5) with a reference image first and two test images following. Subjects answered which of the two test images was taken from the same location, but facing a different direction as the reference image. Answers were recorded without feedback.

Images were sampled randomly from the dataset described in section 2.1, but only a circular region up to 55 degrees field of view is shown. A random location and view direction was picked as the reference image, then a correct answer was sampled from the remaining view direction ensuring a minimum view rotation of ten degrees yaw angle. The false answer was picked as a random sample from the remaining locations with a random view angle.

For the foveal presentation, image content reached from zero to  $b$  degrees and the peripheral presentation showed the range from  $b$  to  $c = 27.5$  degrees. The split angle  $b$  between foveal and peripheral presentation was chosen to approximate equal cortical coverage of the image projection into V1.

In order to find the split angle between peripheral and foveal stimulus display, we try to match cortical information between the two conditions. Images were 480x480 pixels in size and presented at a maximum eccentricity of  $c = 27.5$  degrees this leads to a spatial display resolution of  $\Delta x = 7'$ . Because spatial resolution of the visual system is higher than  $\Delta x$  in the fovea, but the low image resolution limits the amount of information available to the fovea, constant cortical magnification  $M(r) = k$  was assumed up to a radius  $r = a$ , where  $a = 10$  degrees was determined by mapping spatial image resolution to the vernier discrimination thresholds found by Strasburger et al. (2011). Vernier discrimination thresholds were picked as the most conservative

condition possible, assuming that the vision system responsible for making the location assessment can not possibly make use of visual information sampled more densely than this value. For  $r > a$ , an inverse proportional falloff  $M(r) = k \cdot a / r$  was used. The split angle  $b$  must satisfy the condition:

$$\int_{r=0}^b M(r) dr = \int_{r=b}^c M(r) dr \quad (8)$$

Which, assuming  $b > a$ , can be solved trivially for  $b$ :

$$\int_{r=0}^a k \cdot dr + \int_{r=a}^b \frac{a \cdot k}{r} dr = \int_{r=b}^c \frac{a \cdot k}{r} dr \quad (9)$$

$$\Leftrightarrow 1 + \log(b) - \log(a) = \log(c) - \log(b) \quad (10)$$

$$\Leftrightarrow b = \exp\left(\frac{\log(c) + \log(a) - 1}{2}\right) = \frac{\sqrt{a \cdot c}}{\exp(1)} \quad (11)$$

For our setup, this yields  $b = 10.1$  degrees. In a more conservative control condition,  $b_c = c / 2 = 13.8$  degrees was also tested. The control condition equalizes horizontal visual angle coverage between peripheral and foveal display. Because the same amount of image content is captured along the horizon line in this condition, it tests whether horizon elements in the far distance alone are sufficient to perform the localization task. Eight of the subjects were tested on the first and the other seven on the second condition.

## Procedure

For the presentation, 100 peripheral and 100 foveal trials were intermixed randomly. Trials were allocated to four blocks with short breaks. Subjects could resume from the break by pressing a button. The complete experiment lasted about 20 minutes per subject.

The study has been executed in adherence to the Declaration of Helsinki.

## 4.2 Results

**Fig. 6:** Human subjects results on localization study on peripheral versus foveal vision. **A.** Mean percent correct answers for equal cortical mapping ( $b = 10.1$  degrees) condition. **B.** Mean percent correct answers for equal radial coverage ( $b = 13.8$  degrees) condition. **C.** Mean response times for equal cortical mapping condition. **D.** Mean response times for equal radial coverage condition. Error bars are mean error. Significance is tested using a paired, two-tailed  $t$ -test at  $p < 0.05$ .

Results of the psychophysics experiment are shown in figure 6. In the test condition of equal cortical coverage, participants show significantly higher performance on peripherally presented stimuli ( $78.13 \pm 4.20\%$ ) compared to foveal trials ( $71.62 \pm 3.39\%$ ). When fovea and

periphery are split by equal radius ( $b_c = c / 2 = 13.8$  degrees), peripheral presentations are answered correctly at  $79.4 \pm 1.9\%$  and foveal presentations at  $77.4 \pm 3.5\%$ . The difference between the groups is not significant for this condition.

Reaction times, measured as the delay from onset of the second test image to the answer, average to 849ms and show no significant difference between the conditions.

## Results by image overlap

**Fig. 7:** Evaluation by view overlap between reference image and correct test image for equal cortical mapping ( $b = 10.1$  degrees) condition. **A.** Comparison of percent correct between trials that may have view overlap (view change  $\leq 55^\circ$ ) and trials which may not have overlap (view change  $> 55^\circ$ ). **B.** Comparison of percent correct between foveal and peripheral presentation for trials with small view change only. **C.** The same comparison for trials with large view change. **D.** Comparison of percent correct of all trials with a yaw difference between the outer radius of image content shown ( $r$ ) and 90 degrees outside of that value, i.e.  $r = b = 10.5^\circ$  for the foveal trials and  $r = 55^\circ$  for peripheral trials. Error bars are mean error. Significance is tested using a paired, two-tailed  $t$ -test at  $p < 0.05$ .

Performances by view yaw difference between the reference and the correct test image are displayed in figure 7. Because the maximum eccentricity of images was 27.5 degrees, no image overlap is possible between reference and correct test image for trials with a yaw difference larger than 55 degrees. As expected, performance is significantly lower for the trials with large ( $\geq 55^\circ$ ) change in yaw at  $71.32 \pm 2.82$  percent correct versus  $84.00 \pm 3.00$  in the small change condition (figure 7A). For trials with small yaw difference, there is no significant difference in performance between peripheral and foveal presentation at  $83.04 \pm 4.74\%$  for foveal and  $84.96 \pm 4.24\%$  for peripheral display (figure 7B). However, a strong difference can be found in the trials with large yaw difference. While performance for foveal trials is at  $67.11 \pm 3.15\%$ , peripheral presentation leads to a significantly better value at  $75.54 \pm 4.37\%$  (figure 7C).

The performance comparison is also done over all trials in which the yaw difference lies between adjacent reference and test images, i.e. yaw difference  $b = 10.5^\circ$  in the foveal task and  $55^\circ$  in the peripheral task (figure 7D). Despite the potential semantic advantage of visible image content being spatially closer to each other, the peripheral performance at  $77.25 \pm 4.46\%$  exceeds the performance achieved on foveal trials at  $71.28 \pm 4.68\%$ , but the margin is not significant.

## 5 Discussion

The main insights to be taken from the modeling and human subject experiments are:

- Peripheral vision plays an important role when humans match different views of locations.
- This role is stronger for large yaw differences, i.e. when there is no image overlap between matched views.
- Pooling over simple features leads to better recognition performance on holistic tasks than pooling earlier, i.e. directly over luminance values.
- The spatial pooling operation associated with peripheral vision contributes positively to the performance of a descriptor for localization, but not for object categorization tasks.

A common interpretation of peripheral vision would be that while specialization exists for detection of sudden object appearances or movements, it is just a “*crippled fovea*” for static image processing tasks. In this interpretation, information-consuming pooling is performed only to reduce the dimensionality to preserve processing energy and cortical space. However, our results suggest that this view should be refined in that the pooling operations performed by peripheral processing are actually tuned to perform certain tasks, that is the pooling operation performed in peripheral vision serves, in addition to dimensionality reduction, as an integral part of human visual processing. While foveal vision needs to identify individual elements, other important visual tasks include finding the context of the perceived scene (Oliva and Torralba, 2007), of which localization is certainly an integral part.

The performance gained by late pooling, i.e. using statistics over features, compared to early pooling modeled by image size reduction, is a result predicted by Rosenholtz (2011) because it preserves high spatial frequencies that are important for discrimination. Because receptor density is limited at high eccentricities, humans employ both early pooling, i.e. cutoff of spatial frequency resolution in the periphery (Loschky et al., 2005), as well as late pooling, resulting in crowding effects. Here, we show an advantage of the partial late pooling component over full early pooling.

The model comparison results (4) show that for a visual system that is capable of solving a variety of tasks including object and place recognition has to make trade-offs on architectural decisions such as pooling ranges. Note that there are more, often interdependent decisions such as feature complexity, which nonlinearities to use and which statistical properties to compute which we have not controlled for all the models here. Because not all parameters could be tuned independently, other interpretations of the found results may also be considered. For example, the strong performance of GIST on the Caltech-101 set may be attributed to specialties in the dataset (Pinto et al., 2008). However, the reversal of performance ranks between the localization and the object recognition task reinforces the general idea that feature descriptors need to find a trade-off to be discriminative for individual tasks. Although the decrease in performance for larger pooling ranges on the object classification task found in this study is weaker than might be expected based on typical crowding results (section 2.2), it may underestimate the penalty that would be incurred by pooling in real-world object classification because of the cleaned-up nature and the low amount of clutter present in the Caltech-101 dataset. Because there is no single descriptor that solves all problems, a universal visual system may be implemented by including a collection

of features tuned for distinct problem sets.

What are the features based on which models and human subjects solve the localization task? The large reduction in performance between the *Streetview* and the *Opposite*-condition in the models (section 3.2), as well as the performance loss induced by increasing the amount of yaw rotation between reference and test image (figure 7A) show that a large portion of performance can be attributed to simple image matching. While image matching is an important part of localization, it is not a unique or defining characteristic of this task. It is also expected that peripheral vision with its larger input range performs better because it can pool from a larger range of units in which the matched images may overlap.

The almost equal performance between periphery and fovea in the equal radius condition (figure 6B) allows the hypothesis that localization can be solved well on environmental features appearing in the far distance along the horizon such as the skyline or distant foliage, since these conditions have equal horizontal coverage along the horizon line. But it's surprising that results would be equal despite the lowered spatial resolution in the periphery.

However, the experimental evaluation on the high yaw-difference condition (figure 7C) shows that the peripheral advantage persists even when there is no image overlap between tested views. Because individual, highly discriminative objects cannot be matched in this case as they cannot be present in both views, recognition must be based on more general features that are typical for the location. Possible candidates are trees, foliage and ground texture in natural scenes or construction styles in urban scenes. Peripheral vision, with its larger receptive fields, may be tuned to recognize such general features, which improves its role in the localization task. The model evaluation in the *Opposite*-condition (section 2.2) reinforces the idea that large pooling ranges on simple descriptors are useful features to describe a place.

When views of same adjacency are compared (figure 7D), peripheral percent correct is higher than corresponding foveal performance, but the difference is no longer significant in the tested conditions. However, trials of much smaller yaw difference also contain a smaller amount of variation in content, which facilitates the task. How exactly the effects of image content and different recognition methods between foveal and peripheral tasks interact can not be derived from the study. Because peripheral vision naturally provides different semantic features like ground and sky elements, it could be argued that in addition to being tuned to the localization task, the periphery is also naturally exposed to more stimuli which may be useful for this purpose. This reinforces the idea suggested in this paper that the periphery is also tuned functionally to perform well in the localization task.

For the sake of a controlled experiment, we define the localization task in a very artificial way by comparing only different views taken from the exact same location. But since we find that a peripheral advantage exists even on views without overlap, we assume that it should be general enough to also transfer to the more useful real-world task of recognizing places within a proximity radius.

A critical issue for the current investigation is what is regarded as central and as peripheral visual field. The central visual field is often seen to comprise the fovea and parafovea, and border between centrum and periphery would thus be located at 5 deg. Our split between central and

foveal presentations (at 10.1 deg) in the experiment has been much more conservative towards a larger foveal display, so we would expect superiority over peripheral vision for the task using such a setting. We have decided here to use a different criterion and to look for approximately equal cortical areas for central and peripheral vision. We have assumed that the amount of cortical machinery does only reflect the local spatial resolution. Since the resolution of our images has been lower than the foveal resolution we have accordingly assumed a fictitious cortical area which is significantly smaller than the actual cortical area devoted to the processing of the central 10.1 deg. In this respect, our data are based on a bias for central vision.

Larson and Loschky (2009) performed a similar experiment with respect to gist recognition. For their setting, they calculated an area-balanced radius between 2.38 and 3.13 deg. Although the settings are not directly comparable, this can be seen as an indication for the conservatism of our estimate. They further found a cross-over point for equal performance at a radius of 7.4 deg. It would be desirable for future investigations to measure such an equal-performance radius also for the localization task investigated here.

It is further interesting to consider the role of the gist computation in our model simulations. Contrary to expectation, the gist model yields only a weak performance in the localization tasks. Since human gist extraction works fine in peripheral vision (Larson and Loschky, 2009; Thorpe et al., 2001) this may point to a suboptimal implementation of the gist model. Using suitable texture features and pooling ranges instead of low-frequency information may enable the development of a more realistic gist model.

One reason why the gist descriptor works on the place categorization set but not on localization might be due to bias towards certain scene arrangements induced by the source of the photos, i.e. a dataset issue (Ponce et al., 2006).

The periphery exhibits spatial feature pooling over extended areas which is thought to be the basis of crowding phenomena. For many perceptual processes this has been found to cause destructive effects. Here we found that this pooling can also have a beneficial effect. For the task of vision-based localization, we could demonstrate a clear advantage if basic features are pooled. This seems to be a general principle which does not depend on the exact stage of processing on which this pooling takes place. However, it should be noted that if the full pooling would be performed early, e.g. as low resolution input to the cortex, then certain features will be destroyed and will be no longer available for pooling at higher stages. This alone suggests that a step-wise pooling on several subsequent stages would be more efficient than a one-step pooling on an early stage.

In conclusion, we have shown that the periphery is not always inferior to foveal vision, as is the case for a large number of perceptual tasks (Levi, 2008). For the special task of vision-based localization, the spatial pooling of features in peripheral vision can indeed cause a genuine advantage.

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